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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Tracy et al.

Serial No.: 10/566,303

Filed: January 27, 2006

Entitled: Optical Resonance Analysis Unit

Examiner: (not yet assigned)

Art Unit: 2877

Attorney Docket No.: BCR-012.1P US

**Mail Stop AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Sir:

Transmitted herewith are: [X] an Information Disclosure Statement (IDS) under 37 CFR §§ 1.56, 1.97 and 1.98; [X] Form PTO-1449 Information Disclosure Statement; [X] photocopies of the disclosed documents, to be filed in the above-identified patent application; and [X] a return receipt post card.

**PAYMENT OF FEES**

[ ] A check in the amount of \$\_\_\_\_\_ (check no. ) in payment of the fee for submission of an information disclosure statement transmitted herewith.

[X] The Commissioner is hereby authorized to charge payment of any additional fees required under 37 CFR §§ 1.16 or 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 50-0268. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,

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U.S. Serial No. 10/566,303  
Atty. Docket No. BCR-012.1P US

### CERTIFICATE OF MAILING

The undersigned hereby certifies that this correspondence is being deposited with the U.S. Postal Service as First Class Mail, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

11/6/2006  
Date

Meghan McFadden  
Meghan F. McFadden



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**Mail Stop Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97, AND 1.98**

Dear Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants submit this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in the above-identified application for consideration by the Patent Office along with the fee pursuant to 37 C.F.R. § 1.17 (p).

A modified Form PTO-1449 listing the cited documents is also enclosed, as well as, for the Examiner's convenience, copies of the documents. This Statement is being submitted before the first Office Action on the merits pursuant to 37 C.F.R. § 1.97(b)(3). Accordingly, no fee is required with this submission.

**United States Patents and Applications**

US 5,442,448 issued August 15, 1995, Knoll, W.

**Other Documents**

Guedon et al., *Anal. Chem.*, 72: 6003-6009 (2000)

Kretschmann, *Z. Physik*, 241: 313-324 (1971)

Lyon et al., *Review of Scientific Instruments*, 70(4): 2076-2081 (1999)

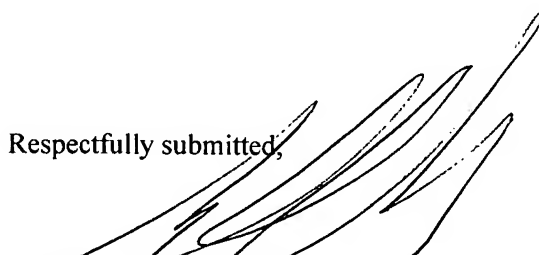
Steiner et al., *Journal of Molecular Structure*, 509: 265-273 (1999)

Zizlsperger et al., *Progr. Colloid Polym. Sci.*, 109: 244-253 (1998)

Applicants do not intend to represent that any of the documents submitted herein is material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicants respectfully request that the documents submitted herein be considered and made of record in this application, and also printed on any patent issuing from the above-identified application.

Respectfully submitted,



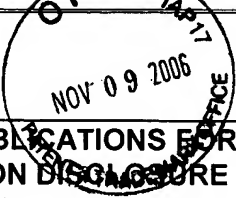
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**Certificate of Mailing**

The undersigned hereby certifies that this correspondence and accompanying documents are being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

11/06/2006  
date

Meghan McFadden  
Meghan F. McFadden

|   |   |                                   |                          |
|---|---|-----------------------------------|--------------------------|
| Modified FORM PTO-1449  |  | ATTY. DOCKET NO.<br>BCR-012.1P US | SERIAL NO.<br>10/566,303 |
| LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION DISCLOSURE<br>STATEMENT |   | APPLICANT<br>Tracy et al.         |                          |
| (Use several sheets if necessary)   |   | FILING DATE<br>January 27, 2006   | GROUP<br>2877            |

**REFERENCE DESIGNATION**
**U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE       | NAME      | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|----|-----------------|------------|-----------|-------|----------|-------------------------------|
|                     | AA | US 5,442,448    | 08/15/1995 | Knoll, W. |       |          |                               |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

|                 |    |  |
|-----------------|----|--|
|                 | AB | Guedon et al., <i>Anal. Chem.</i> , 72: 6003-6009 (2000)                       |
|                 | AC | Kretschmann, <i>Z. Physik</i> , 241: 313-324 (1971)                            |
|                 | AD | Lyon et al., <i>Review of Scientific Instruments</i> , 70(4): 2076-2081 (1999) |
|                 | AE | Steiner et al., <i>Journal of Molecular Structure</i> , 509: 265-273 (1999)    |
|                 | AF | Zizlsperger et al., <i>Progr. Colloid Polym. Sci.</i> , 109: 244-253 (1998)    |
| CCV<br>EXAMINER |    | DATE CONSIDERED  |

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

sheet 1 of 1